

INTEGRATED CARE FUND PROPOSED GOVERNANCE

Aim

- 1.1 To update the Shadow Integration Board on the development of the Integrated Care Fund arrangements.
- 1.2 To outline proposed governance arrangements for the Integrated Care Fund.

Background

- 2.1 The Scottish Government has announced an Integrated Care Fund (ICF) of £173.5m to support the integrated working for health and social care. Resources of £100m are to be made available to Health Boards in 2015-16. Of this, £2.13m has been allocated to the Scottish Borders.
- 2.2 Three workshops have been held in the Borders to review the Scottish Government guidance and develop an Integrated Care Fund (ICF) Plan giving a high-level outline of how the Fund will be invested and managed locally. (The Guidance for Local Partnerships is set out in Appendix 1). The ICF Plan was submitted in principle to the Scottish Government on 23 January 2015.
- 2.3 Four key areas of investment have been identified as health improvement, community capacity building, access to services and early intervention and prevention which are in line with local Joint Commissioning Strategies.
- 2.4 At the workshop on 27 January 2015, seven potential service transformation projects were identified in line with the four key areas. Leads have been identified to further develop project initiation documents. The seven projects are: transport hub, access to information, health improvement and self-management and long term conditions, community infrastructure, transitions model, re-ablement, co-ordination.
- 2.5 Technology Enabled Care (TEC), a Scottish Government programme to scale up technology-enabled care, will also be included as an ICF key area. A bid was submitted to the Scottish Government in December 2014 for funding for three areas: expansion of video conferencing, online information portals and expansion of Telecare. Local ICF allocation will be used to match fund TEC projects approved by the Scottish Government.
- 2.6 The ICF Plan 2015-16 sets out that an ICF Board, or Steering Group, will be established and incorporated within the existing Integration Programme governance arrangements, ensuring alignment with objectives, good financial governance, monitoring of project delivery, effective reporting.

Proposed ICF Governance Arrangements

- 3.1 A diagram of the proposed governance structure for ICF Project activity can be found in Appendix 2 of this report. The ICF Steering Group will receive direction from, and report to, the Strategic Planning Project Board.

- 3.2 It is suggested that the ICF Steering Group would be chaired by the Chief Officer for Health and Social Care Integration and consist of strategic leads from each of the four partners (Scottish Borders Council, NHS Borders, third and independent sectors) with representatives from finance and performance management. The Steering Group would be supported by a programme team (a Project Manager and Project Officer currently funded from the Care Fund and, from April 2015, the ICF).
- 3.3 It is proposed that the ICF Steering Group would ensure that the seven potential projects – and any subsequent potential ICF project – are worked-up into clear project briefs which clearly set out the:
- scope of the project
 - fit with the strategic plan and delivery against outcomes
 - fit with the ICF scoring criteria
 - outline business case for the project (including benefits and success criteria)
 - scalability (ability to be rolled out)
 - project governance
 - resources/funding requirement (and associated cost build-up)
 - outline project plan
 - risks
 - exit strategy
- 3.4 The ICF Steering Group will appraise and score the completed project briefs against agreed criteria and prepare papers for the Strategic Planning Project Board. In turn, the Strategic Planning Project Board will make recommendations to the Shadow Integration Board, subject to the endorsement of the Integration Programme Board. Initial draft scoring criteria are set out at Appendix 3. The criteria will be developed further with the Strategic Planning Project Board and agreed by the ICF Steering Group at its first meeting.
- 3.5 The Shadow Board will have oversight of the ICF spend against the approved plan (see 2.6 above) with final approval of spend by the NHS Accountable Officer, as the funding comes via the NHS.
- 3.6 It is proposed that the ICF Steering Group ensure consistency of approach in terms of:
- developing approved project briefs into detailed project initiation documents with plans, governance and resources
 - monitoring and reporting of milestones and delivery of benefits to the Strategic Planning Project Board
 - evaluation of projects
- 3.7 It is proposed that projects funded through ICF would be expected to submit a Project Brief, a Project Initiation Document, monthly/ quarterly highlight reports, mid-year progress/ evaluation reports, exception reports (when required) and a final evaluation. Inadequate progress would result in the project lead being asked to attend Strategic Planning Project Board to account for the project.
- 3.8 Scottish Border TEC projects approved by the Scottish Government will be match funded through ICF. These projects will be managed through the Information and Technology Project Board and will also be expected to follow project reporting outlined above and set out in Appendix 2.

Summary

- 4.1 Scottish Borders has been allocated £2.13 million for 2015-16 as an Integrated Care Fund to support integrated working between health and social care.
- 4.2 Governance arrangements are proposed for the ICF with decision-making delegated to appropriate levels.
- 4.3 Stakeholder workshops have been used to develop an ICF investment plan for seven projects related to four areas of investment. Technology-enabled care is also included as an ICF key area. A bid has been submitted to Scottish Government for funding for three areas. TEC projects approved by the Scottish Government will be match funded from the local ICF.

Recommendation

The H&SC Integration Shadow Integration Board is asked to **agree** the report.

Policy/Strategy Implications	Implementing the planning described in the report will ensure local delivery of national policy and strategy.
Consultation	
Risk Assessment	Appropriate governance will minimise financial risk. Risks to delivery will be mitigated by strong performance management.
Compliance with requirements on Equality and Diversity	The use of the funding in the way described is expected to promote inclusion.
Resource/Staffing Implications	Resource implications are outlined in the paper

Approved by

Name	Designation	Name	Designation
Susan Manion	Chief Officer		

Author(s)

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Appendices

Appendix 1 – Guidance for Partnerships



Integration -
Integrated Care Func

Appendix 2 – Proposed ICF Governance Structure



Appendix 2 -
Proposed ICF Govern

Appendix 3 – Proposed ICF Scoring Criteria



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